Depository Interests Additional Fees

EQi

Depository Interests Stock (DI)

Certain US and Canadian stocks are held and settled as Depository Interests (DIs) through the UK settlement system (CREST).

When you buy or sell these investments, an additional fee may apply to cover charges from the third-party provider responsible for transferring and settling the shares.

This fee is separate from EQi's dealing charges and it is automatically included in the price of the trade.

US DIs*

Security Name	Stock Symbol	ISIN
AngloGold Ashanti Plc	AU	GB00BRXH2664
Ball Corporation	BALL	US0584981064
CRH Plc	CRH	IE0001827041
Gen Digital Inc.	GEN	US6687711084
GXO Logistics Inc	GXO	US36262G1013
Nexxen International Ltd	NEXN	IL0012165630
Steris Plc	STE	IE00BFY8C754
Smurfit Westrock Plc	SWR	IE00028FXN24
TIC Solutions Inc	TIC	US00510N1028

Canadian DIs**

Security Name	Stock Symbol	ISIN
Intact Financial Corporation	IFC	CA45823T1066
Thomson Reuters Corporation	TRI	CA8849038085

Value of Securities	USA Fee - USD*	Canadian Fee - CAD**
Up to $50,000	$150.00	$150.00
$50,001 - $500,000	$325.00	$325.00
$500,001 - $2,500,000	$800.00	$1,000.00
$2,500,001 - $5,000,000	$1,500.00	$1,500.00
$5,000,001 - $10,000,000	$1,750.00	$1,750.00
$10,000,001 - $20,000,000	$2,250.00	$2,250.00
$20,000,001 - $50,000,000	$3,250.00	$3,250.00
$50,000,001 - $100,000,000	$4,000.00	$4,000.00
$100,000,001 - $500,000,000	$5,000.00	$5,000.00
$500,000,001 +	$6,000.00	$6,000.00
The charge is applied by adjusting the price per share and is converted into pounds and is reflected in the sterling price shown on your contract note.		

See following pages for FAQs

Depository Interests Stock (DI) FAQs

1. What is a Depositary Interest (DI)?
A Depositary Interest (DI) allows certain overseas shares, such as some US and Canadian securities, to be traded and settled through the UK CREST system. This gives UK investors access to overseas investments while using UK settlement arrangements.

2. What is a Depositary Interest (DI) fee?
A DI fee is an additional charge applied by Computershare when certain overseas securities, such as some US and Canadian shares, are bought or sold through the UK settlement system (CREST). This fee covers the administration and settlement of the transfer between the overseas market and CREST.

3. Which investments may be subject to a Depositary Interest (DI) fee?
DI fees may apply to certain securities that are held or settled as Depositary Interests (DIs), including eligible US-listed shares and some overseas securities that use a DI structure to facilitate trading and custody within the UK market. The full list of DIs that charge and can be traded via Equiniti Financial Services Limited is listed above.

4. Is the Depositary Interest (DI) fee charged by EQ?
No. The DI fee is charged by Computershare who are responsible for administering and settling Depositary Interest transfers. It is separate from any dealing charges applied by EQ.

5. How will I know if a Depositary Interest (DI) fee applies to my trade?
To understand if the DI you wish to trade in is subject to the additional fee, please refer to the list above. If the DI does not appear on this list, there is no additional fee charged.

6. Will the Depositary Interest (DI) fee appear as a separate charge on my contract note?
No. DI fees are reflected within the price of the security rather than shown as a separate line item on your contract note. The fee is incorporated by adjusting the price per share shown on the contract note, meaning the dealing price you receive includes the DI fee rather than displaying it as a separate charge.

7. Are Depositary Interest (DI) fees charged in addition to dealing commission and other charges?
Yes. DI fees are separate from other dealing charges that may apply to your trade. If a DI fee applies to the security you are buying or selling, they are included within the price referenced on your contract note after the trade is executed.

8. How much is the Depositary Interest (DI) fee?
The fee varies depending on the value of the securities being traded and is determined by Computershare's charging structure and will be reflected in the contract note provided after you place your trade. The full list of DIs that are subject to this fee via Equiniti Financial Services Limited is listed above.

9. Does a Depositary Interest (DI) fee apply when buying and selling?
Yes, a DI fee will apply when buying or selling an eligible Depositary Interest security.

10. Why is my Depositary Interest (DI) trade routed to a dealer?
Depositary Interest (DI) securities are traded differently from many UK-listed shares. When you place an order online or over the telephone, the instruction is routed to a dealer who executes the trade on the underlying market where the security is listed.

This approach is used because DI securities can involve additional settlement, custody and market-specific requirements. Routing the order to a dealer helps ensure the trade is executed correctly, at the best available market price.

11. How do I trade a Depositary Interest (DI)?
You can place a Depositary Interest (DI) trade online or over the telephone in the same way (between 14:30 and 17:30 UK time only) as other eligible investments. Trading in DIs mirror the trading hours of the respective US and Canadian markets.

- **Online**: Enter your trade instructions through your account and submit your order, your instruction will be passed to our dealing team for execution. Login
- By **telephone**: Contact our Customer Experience Centre and provide your trade instructions. Our team will submit your order for execution on the underlying market. (Telephone dealing rates will apply)

Once received, DI orders are routed to a specialist dealer for execution on the underlying market where the security is listed. This is because overseas securities can be subject to different market, settlement and custody requirements than UK-listed shares. Any applicable dealing costs are reflected in the price you receive and are not charged separately.

Our Customer Experience Centre is available on +44 (0)345 0700 720. Lines are open 08:00 to 17:30, Monday to Friday (excluding UK Bank & Public holidays).

Depository Interests Stock (DI) FAQs

12. Why can't Depositary Interest (DI trades) always be executed online?
Unlike other UK-listed securities, DI trades may need to be routed to our dealing desk to be executed where one of our dealers will ensure the transaction can be completed accurately and efficiently.

13. How are Depositary Interest (DI) fees paid when trading?
These fees are payable to Computershare for the administration and operation of the DI service. We execute DI trades with Winterflood Securities, who pay all applicable DI fees to Computershare on behalf of EQi and its customers.

14. The additional fees for certain Depositary Interest (DI) securities are noted in US or Canadian dollars, whereas the quote and trade price I receive is in pounds, how does this work?
The UK Market Maker will convert the correct US or Canadian dollar fee and convert it into pounds as part of the price quote.