

Small Estate Declaration



This form should only be completed in respect of EQi Dealing Accounts and/or ISAs. If you have any questions about completing this form please contact our Customer Experience Centre on **0345 0700 720**.

Section A: Deceased's Details

Name of deceased
account holder

Account number(s)

Section B: Next of Kin/Executor(s) Declaration & Indemnity

To: Equiniti Financial Services Limited

I/We do solemnly and sincerely declare that:

- I am/We are the Next of Kin of the deceased or the Executor as shown in the Last Will and Testament or foreign Grant of Representation and I am/we are entitled to the proceeds of the estate.
- I/We do not intend nor, to the best of my/our knowledge, does any other person intend to apply for a UK Grant of Representation for the estate of the deceased.
- No Inheritance Tax is payable on the estate of the deceased.
- The value of the deceased's estate does not exceed **£50,000**

I/We (jointly and severally) agree:

- To indemnify Equiniti Financial Services Limited (EFSL) against all claims, demands, liabilities, costs, charges and expenses that may be brought against or incurred by you arising out of or in connection with your so doing including, in particular, payments of entitlements and costs and expenses to another applicant if any of the above statements are incorrect.
- In the event of legal proceedings being instituted against you in connection with any such claim, from time to time upon demand to provide you with such funds as you may require to defend such proceedings and in default of my/our so doing you shall be entitled to admit or settle such proceedings on such terms as you may think fit with recourse to me/us.
- That EFSL can undertake identity and bank account checks using its online systems and, if those checks fail, I/we will provide documentation to confirm my/our identity/bank account as required.

Section C: Personal Details

Please complete your details as the Next of Kin/Executor(s) (see Section F for information on completing this form)

1.Details of the Next of Kin/Executor

Mr Mrs Miss Ms Other

Full name

House name / number / street address

City / town

Postcode

Relationship to deceased, please tick as appropriate:

Next of kin Executor

If Next of Kin; state relationship to the deceased

2.Details of the Next of Kin/Executor

Mr Mrs Miss Ms Other

Full name

House name / number / street address

City / town

Postcode

Relationship to deceased, please tick as appropriate:

Next of kin Executor

If Next of Kin; state relationship to the deceased

Small Estate Declaration



Section D: Payment details

I/We request that, without production of a UK Grant of Representation, the securities held in the account of the deceased, as quoted in **Section A**, be (tick one box as appropriate)

☐ Sold and the proceeds, along with any cash held, sent to my/our bank account or

☐ Transferred, including any cash, into my/our Dealing Account number
(An account can be opened by completing the EQi Dealing Account application form) or

☐ Transferred into the names of the beneficiaries and certificates sent to them, with any residual cash sent to their bank account(s). Where a certificated holding is created, the new share certificate will be sent to the address of the Next of Kin/Beneficiary named first in **Section C**. If certain assets cannot be transferred into certificated form, I/we agree that these can be sold and proceeds sent to the beneficiaries bank account.

Please note, dealing commission will be charged on any trades at the standard online tariff and a fee per security will apply for any shares being issued as a certificated. Details can be found on our website at [How it works – Pricing](#).

Please note, regular fees will continue to be charged to the account, as per the EQi Terms and Conditions. Therefore, the cash balance on the account may change.

Name(s) bank account

Sort code & account number

Section E: Signature(s)

To be signed by the Next of Kin or Executor(s) (as detailed in **Section C** of this form)

Signature (Next of Kin/Executor)

Signature (Next of Kin/Executor)

Date

Day Month Year

Date

Day Month Year

Please remember to print and sign your form with a wet signature before submission.

Section F: Information on completing this form

As Next of Kin (where there is no Will):

This form must be completed by the Next of Kin and returned with a copy of the Death Certificate and any fee payable.

Next of Kin is defined as being related to the deceased by blood or marriage. The recognised order of precedence is:

- Husband, Wife or Civil Partner
- Son or Daughter
- Father or Mother
- Brother or Sister
- Grandparent or Grandchild

Where the form is completed by more than one Next of Kin, shares will be transferred and future payments made to their joint names.

Please note: For shares in joint names the address given for the Next of Kin/Executor named first in **Section C** will be recorded as the registered address for all future communications.



Section F: Information on completing this form (continued)

As Executor(s) named in a Will:

The form must be completed and returned to us with a copy of the Death Certificate, the Will or foreign Grant of Representation and any fee payable

Sole Executors

Where only one Executor is named in the Will the holding(s) will be transferred and payments made to the sole Executor.

More than one Executor

Where there is more than one Executor named in the Will and all persons wish to act, we will require the details and signatures of all named Executors. The shares will be transferred to and all payments will be made in joint names.

Please Note a bank account in joint names will be necessary.

Where there is more than one Executor named in the Will and the holding(s) are to be transferred to only one Executor, for ease of administration we will require only their details and signature upon the form. This must be supported by a signed authority from the remaining Executors, giving their agreement that the named Executor can act on their behalf.

Checklist

- Please return this form including the following to:

Bereavement Team,
Equiniti,
Aspect House,
Spencer Road,
Lancing,
BN99 6DA

- **Fee:** If there is insufficient cash on the account to meet the fee, a cheque should be sent, made payable to: 'EQi Client Account' (only required where the securities are to be transferred into certificates and sent to you). Our current tariff can be found on our website at [How it works - Pricing](#). Or call our Customer Experience Centre on **+44 (0)345 0700 720**, lines open Monday - Friday, 08:00 to 17:30 (excluding UK public holidays).
- **Death Certificate**
- **Un-Cashed Cheques:** Any un-cashed cheques relating to the account(s), in your possession
- **Last Will & Testament or foreign Grant of Representation** (where applicable)