

# Lifetime ISA Application



To open a EQi Stocks & Shares Lifetime ISA please complete and sign this application form and return it, along with any enclosures required . Please read this form in conjunction with the EQi Terms and Conditions which can be found on our website.

Please complete all fields in this form (where applicable).

## Section A: Account Details

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EQi Dealing Account number:

Title                                      Mr                                      Mrs                                      Miss                                      Ms                                      Other

First name(s)

Last name

Date of birth

Day                                      Month                                      Year

Telephone number

Email address

Country and town of birth

Mother's maiden name

Postal address

## Section B: Tax Details

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### Tax Residence details:

Country of tax residence

National Insurance number

I have no National Insurance number/Tax Identification number

If you do not have a National Insurance number/  
Tax Identification number, please indicate why

Additional country of tax residence (1)                                      (2)

Taxpayer Identification number (1)                                      (2)

Are you a United States Green Card holder?                                      Yes                                      No

**Please note:** we will be unable process this application for the EQi Lifetime ISA if you fail to provide a National Insurance number.



## Section C: Employer Contract Notes

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Does your employer need copies of your contract notes?

Please note: we can only issue one copy contract note per account

Yes

No

If so, please provide your employer's details (including contact name, UK office address and postcode).

## Section D: Lifetime ISA Transfer In (Notification to existing ISA Manager of intention to transfer Lifetime ISA)

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Please complete a separate Lifetime ISA transfer request for each ISA Manager.

Name of existing  
ISA Manager

Address of existing  
ISA Manager

Telephone number

Lifetime ISA  
holders name

Account number

Confirm the total cash  
balance you would like  
to transfer into your  
EQi Lifetime ISA

£

**Please note:** Existing investments cannot be transferred to the EQi Lifetime ISA, only cash will be accepted, so any investments held must be sold before.



## Section E: Transfer In Declaration

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Investor Declaration to existing ISA Manager

I wish to transfer my existing Lifetime ISA detailed above to my EQi Lifetime ISA with immediate effect.

**I hereby declare that:**

- You may provide EQi with any information they may require pertaining to the Lifetime ISA I wish to transfer.
- you may act upon instructions from EQi; and
- you may deduct any charges for the transfer of this EQi Lifetime ISA as detailed in your Terms and Conditions.

Signed

Date

Day

Month

Year

## Section F: Custody Fees/Account charges

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**How do you wish to pay your Custody fees?**

- From the cash balance available in my Lifetime ISA

- From another EQi account

Example – 'Dealing Account'

- From my nominated bank account

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## Section G: Banking Details

You must set up a Direct Debit to us from your nominated bank account by filling in this . This will allow you to pay money into your account and allow withdrawals to your bank account. The nominated bank account provided must be held in the name of the applicant. Another option is crediting funds by Debit Card to your EQi Lifetime ISA. (You can do this on our secure website or by contacting our Customer Experience Centre on **0345 0700 720** once your application form has been accepted)

**Please note:** Withdrawals are chargeable under the HMRC Lifetime ISA rules.

### Setting up regular payments

|  |     |      |
|--|-----|------|
| Do you want to make regular payments from your bank account into your EQi account?                             | Yes | No   |
| If Yes, please choose the date you would like payments to be debited from your bank account.                   | 1st | 15th |
| If the regular payment date falls on a non-working day, the payment will be collected on the next working day. |     |      |
| Amount that you would like to transfer each month  | £   |      |

|   |                       |  |       |      |
|---|-----------------------|--|-------|------|
| Instruction to your bank or building society to pay by Direct Debit |                       | Service User Number  |       |      |
| Name and full postal address of your bank or building society:      |                       | 8 3 8 5 1 0  |       |      |
| To: The Manager   |                       | Service User Reference (if applicable)   |       |      |
| Bank/Building Society address                                       | Bank/Building Society | EQi  |       |      |
| Postcode  |                       | <b>Instruction to your bank or building society</b><br>Please pay Equiniti Financial Services Limited, trading as EQi ("EQi"), Direct Debits from the account detailed in this instruction, subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with EQi and, if so, will be passed electronically to my bank/building society. |       |      |
| Name of account holder(s)   |                       | Signature  |       |      |
| Bank/Building Society account number                                |                       | Print name   |       |      |
| Branch sort code  |                       | Date   |       |      |
|   |                       | Day  | Month | Year |

We recommend that you retain a copy of the Direct Debit guarantee

#### The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit, Equiniti Financial Services Limited, trading as EQi ("EQi"), will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request EQi to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by EQi or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when EQi asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



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## Section H: Declaration and Authority

Please read this carefully before signing the Declaration

I apply to subscribe for a Lifetime ISA for the tax year 2021/22 and each subsequent year until further notice.

### I declare that

- I am 18 years of age or over, and either under the age of 40 or the account is being opened to receive a transfer of subscriptions/assets from another Lifetime ISA.
- I have not subscribed and will not subscribe to another Lifetime ISA in the same tax year that I subscribe to this Lifetime ISA.
- All subscriptions made, and to be made, belong to me.
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to any combination of permitted ISAs in the same tax year.
- I have not made, and will not make, current year payments, which exceed the Lifetime ISA annual allowance.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I shall inform EQi if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I have not made current year payments, or transfers from a Help to Buy: ISA, and will not make current year payments, or transfers from a Help to Buy: ISA, to another Lifetime ISA in the same tax year that I subscribe to this Lifetime ISA

Signed

- That this application form will be completed to the best of my knowledge and belief, and will promptly inform you of any changes in my circumstances.

### I authorise EQi:

- to hold my cash subscription, Lifetime ISA investments, interest, dividends and any other rights or proceeds (including any government bonus) in respect of those investments and any other cash;
- to make on my behalf any claims to relief from tax in respect of Lifetime ISA investments.
- to submit Lifetime ISA bonus claims to HMRC on my behalf
- to withhold and deduct from a balance in the Lifetime ISA, and pay to HMRC any charges due to withdrawals or any wrongly paid amount of Lifetime ISA bonus.
- This service is managed and administered in accordance with the Terms and Conditions which can be viewed on our website or sent to you upon request. This is our standard client agreement upon which we intend to rely. For your own benefit and protection you should read these terms carefully before completing this form.

Date

Day

Month

Year

## Next Steps

1. If you are an existing customer, you will receive notification that your EQi Lifetime ISA has been activated, and will be able to use your existing username/account number and PIN to access the new account.
2. If you are a new customer and your application is accepted you will receive the following:
  - Your account number
  - Sent separately, your PIN, which you will need to change the first time you log in on the EQi website.
3. If your application is unsuccessful, you may be required to provide additional documentation.

You will receive most communications from us by online secure message, accessible from your account.

Should you wish to change this preference, please call **0345 0700 720**.

Please return this form to: **EQi, PO BOX 4923, Worthing, BN99 6SF**



## Appendix: National Identifier Instructions

Please list your nationalities (up to three) in the boxes below.

**You are not required to complete the National Identifier details below if you are a UK National only.**

Please provide details of your primary nationality. The table below lists the countries of the European Economic Area (EEA), including a for any non – EEA countries, and details of each country's requested National Identifier. For a simple tool to assist with working this out, please refer to our [www.nationalitycalculator.co.uk](http://www.nationalitycalculator.co.uk).

### Guidance

#### 1. Identify your primary nationality

Referring to the table below and the country column, whichever of your nationalities is listed highest will be your primary nationality (the countries are ordered alphabetically according to national ID Code). Eg. If you are a national of both the United Kingdom and Spain, as Spain is listed higher than the UK in the country list, your primary nationality will be Spain.

#### 2. Determine your National Identifier

If an order of priority is indicated for your National Identifier and you cannot provide the first priority details, the second priority details will be accepted.

Please confirm the National Identifier you have provided by placing an 'X' in the appropriate box below.

Primary Identifier

Secondary Identifier

National ID code

National Identifier

| Country        | National Identifier  | National ID Code | Country specific instructions for completing National Identifier  |
|----------------|--|------------------|---|
| Austria        | No additional data required  | AT1              | Leave the National Identifier & National ID Code boxes blank.   |
| Belgium        | <b>Belgian National Number (Numéro de register national – Rijksregisternummer)</b> | BE1              | Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.   |
| Bulgaria       | <b>Bulgarian Personal Number</b>   | BG1              |   |
| Cyprus         | <b>National Passport Number</b>  | CY1              |   |
| Czech Republic | First Priority: <b>National Identification Number (Rodné číslo)</b>                | CZ1              | Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it. |
|                | Second Priority: <b>National Passport Number</b>                                   |                  |   |
| Germany        | No additional data required  | DE1              | Leave the National Identifier & National ID Code boxes blank.   |

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| Country        | National Identifier   | National ID Code | Country specific instructions for completing National Identifier  |
|----------------|---|------------------|---|
| Denmark        | Personal Identity Code  | DK1              | Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have it.   |
| *Estonia       | Estonian Personal Identification Code (Isikukood)                   | EE1              |   |
| *Spain         | Tax Identification Number (Código de identificación fiscal)         | ES1              |   |
| Finland        | Personal Identity Code  | FI1              |   |
| France         | No additional data required   | FR1              | Leave the National Identifier & National ID Code boxes blank.   |
| United Kingdom | UK National Insurance Number  | GB1              | Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.   |
| Greece         | 10 DSS Digit Investor Share   | GR1              |   |
| Croatia        | Personal Identification Number (OIB – Osobni identifikacijski broj) | HR1              |   |
| Hungary        | No additional data required   | HU1              | Leave the National Identifier & National ID Code boxes blank.   |
| Ireland        | No additional data required   | IR1              |   |
| *Iceland       | Personal Identity Code  | IS1              | Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.   |
| *Italy         | Fiscal code (Codice Fiscale)  | IT1              |   |
| Liechtenstein  | First Priority:<br>Personal code (Asmens Kodas)                     | LI1              | Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it. |
|                | Second Priority:<br>National Passport Number                        | LI2              |   |
| Lithuania      | First Priority:<br>Personal code (Asmens Kodas)                     | LT1              |   |
|                | Second Priority:<br>National Passport Number                        | LT2              |   |
| Luxembourg     | No additional data required   | LU1              | Leave the National Identifier & National ID Code boxes blank.   |
| Latvia         | Personal Code (Personas Kods)                                       | LV1              | Enter the requested National Identifier and National ID Code details, or tick to confirm if you do not have this.   |

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| Country  | National Identifier  | National ID Code | Country specific instructions for completing National Identifier   |
|--|--|------------------|--|
| *Malta   | First Priority:<br><b>National Identification Number</b>                     | MT1              | Enter the National Identifier & National ID Code details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.  |
|  | Second Priority:<br><b>National Passport Number</b>                          | MT2              |  |
| Netherlands  | First Priority:<br><b>National Passport Number</b>                           | NL1              |  |
|  | Second Priority:<br><b>National Identity Card Number</b>                     | NL2              |  |
| Norway   | <b>11 digit Personal ID (Foedselsnummer)</b>                                 | NO1              | Enter the requested National Identifier & National ID Code details, or tick to confirm if you do not have this.  |
| *Poland  | First Priority: <b>National Identification Number (PESEL)</b>                | PL1              | Enter the National Identifier & National ID Code in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.  |
|  | Second Priority: <b>Tax Number (Number Identyfikacji podatkowej)</b>         | PL2              |  |
| Portugal   | First Priority: <b>Tax Number (Número de Identificação Fiscal)</b>           | PT1              |  |
|  | Second Priority:<br><b>National Passport Number</b>                          | PT2              |  |
| Romania  | First Priority: <b>National Identification Number (Cod Numeric Personal)</b> | RO1              | Enter the requested National Identifier and National ID Code details or tick to confirm if you do not have this.   |
|  | Second Priority:<br><b>National Passport Number</b>                          | RO2              |  |
| Sweden   | <b>Personal Identity Number</b>  | SE1              |  |
| Slovenia   | <b>Personal Identification Number (EMŠO: Enotna Matična Številka Občana)</b> | SL1              |  |
| Slovakia   | First Priority:<br><b>Personal Number (Rodné číslo)</b>                      | SK1              | Enter the National Identifier details in the order of priority indicated. If you are unable to provide the first priority, tick to confirm this and complete the second priority details, or tick to confirm you do not have it.   |
|  | Second Priority:<br><b>National Passport Number</b>                          | SK2              |  |
| All other Countries (including Crown Dependencies such as Jersey, Guernsey, Isle of Man etc) | <b>National Passport Number</b>  | XX1              | Enter the requested National Identifier and National ID Code or tick to confirm you do not have it. If you are a national of more than one non-EEA country, please refer to <a href="http://www.nationalitycalculator.co.uk">www.nationalitycalculator.co.uk</a> or contact our Customer Experience Centre to assist in establishing your primary nationality. |